

Docket No. J&J2045

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Antonio Carlos Ribeiro Carvalho et al.

Serial No.: 10/040,575

Art Unit: 3761

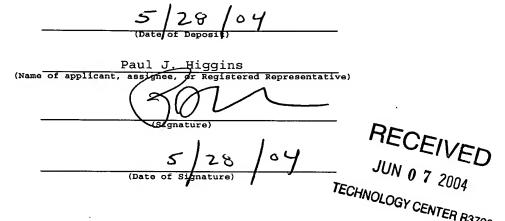
Filed

: November 1, 2001 Examiner: Catharine L. Anderson

For

: Sanitary Absorbent Article

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on



Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This copy is supplemental to the Information Disclosure Statement(s) mailed on November 1, 2001, April 16, 2002, and June 7, 2002.

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the aboveidentified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the

information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

| In accordance with §1.97(b), since this Information |
|----------------------------------------------------------------------|
| Disclosure Statement is being filed either within three months |
| of the filing date of the above-identified national application |
| (other than a continued prosecution application under |
| §1.53(d)), within three months of the date of entry into the |
| national stage of the above identified application as set forth |
| in §1.491, or before the mailing date of a first Office Action |
| on the merits of the above-identified application, or before |
| the mailing date of a first Office Action after the filing of a |
| request for continued examination under §1.114, no additional |
| fee is required. |
| |
| oxtimes In accordance with §1.129(a), this Information |
| Disclosure Statement is being filed in connection with \square the |
| first or \(\second \) second After Final Submission, therefore: |
| Statement in Accordance with §1.97(e) |
| (attached); or |
| ☐ Please charge Deposit Account No. 10- |
| 0750/ / the fee of $$180.00$ as set forth |
| in §1.17(p). |
| |
| In accordance with §1.97(c), this Information |
| Disclosure Statement is being filed after the period set forth |
| in §1.97(b) above but before the mailing date of either a Final |
| Action under §1.113 or a Notice of Allowance under §1.311, or |
| |

| an action that otherwise closes prosecution and that it is |
|------------------------------------------------------------------|
| accompanied by one of: |
| <pre>Statement in Accordance with §1.97(e)</pre> |
| (attached); or |
| Please charge Deposit Account No. 10- |
| 0750/ / the fee of $$180.00$ as set forth |
| in §1.17(p). |
| ☐ In accordance with §1.97(d), this Information |
| Disclosure Statement is being filed after the mailing date of |
| either a Final Action under §1.113 or a Notice of Allowance |
| under §1.311 but before the payment of the Issue Fee. |
| Applicant(s) hereby petition(s) for consideration of this |
| Information Disclosure Statement. Included are: Statement in |
| Accordance with §1.97(e) as set forth below and the fee of |
| \$180.00 as set forth in §1.17(p). |
| $oxed{\boxtimes}$ Copies of each of the references listed on the |
| attached Form PTO-1449 are enclosed herewith. |
| Copies of references listed on the attached Form PTO |
| 1449 are enclosed herewith EXCEPT THAT: |
| ☐ In view of the voluminous nature of references |
| [list as appropriate], and the likelihood that |
| these references are available to the Examiner, |
| copies are not enclosed herewith. |
| <pre>If any of the foregoing publications are not</pre> |
| available to the Examiner, Applicant will |
| endeavor to supply copies at the Examiner's |
| request. |
| There are no listed references which are not in the |
| |

☐ The relevance of those listed references which are not in the English language is as follows: See EPO Search Reports attached.

Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

Copies of the references listed on the search report(s) are included except for those previously cited in an IDS mailed and/or cited by the Examiner on a form 892.

Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/J&J2045/PJH. This form is submitted in triplicate.

Respectfully submitted,

Paul J. Higgins Reg. No. 44,152

Attorney for Applicants

Johnson & Johnson
One Johnson & Johnson Plaza
New Brunswick, NJ 08933-7003
(732) 524-1728
DATED: MM AR AND



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Antonio Carlos Ribeiro Carvalho et al.

Serial No. : 10/040,575 Art Unit: 3761

Filed : November 1, 2001 Examiner: Catharine L. Anderson

For : Sanitary Absorbent Article

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

(Date of Deposit)

Paul J. Higgins
(Name of applicant, assignee or Registered Representative)

(Signature)

5/29/09
(Date of Signature)

RECEIVED

JUN 0 7 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TECHNOLOGY CENTER R3700

STATEMENT UNDER 37 C.F.R. §1.97(e)

In accordance with 37 C.F.R. §1.97(e), certification is hereby made that:

Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement; or

| | No item of information contained in the $\ensuremath{\operatorname{Information}}$ | |
|------------|-----------------------------------------------------------------------------------|----|
| Disclosure | Statement was cited in a communication from a foreign | дn |
| patent off | ce in a counterpart foreign application, and, to the | € |

knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement, was known to any individual designated in \$1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Paul J. Higgins Reg. No. 44,152

Attorney for Applicants

Johnson & Johnson One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003 (732) 524-1728

DATED: May 28, 2009

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 1 of 1

| valid OMB control number. |
|---------------------------|
| 10/040,575 |
| November 1, 2001 |
| A. C. R. Carvalho |
| 3761 |
| C. L. Anderson |
| J&J2045 |
| |

| | | U.S. Patent Do | ocument | | | |
|----------------------|--------------|----------------|--------------------------------------|-------------------------------------------------|--------------------------------------------------|---------------------------------------------------------------------------------|
| Examiner Initials | Cite No.1 | Number | Kind Code ² (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
| | | | | | | |
| | | | | | RA | |
| | | | | · · · · · · · · · · · · · · · · · · · | | VE/I/F |
| | | | | <u>, </u> | TECHNOLOG | V 0 7 2004 |
| | | | | | | CENTER R3700 |
| | | | | | | |

| | 7 | T = - | 5 7 1 5 | | EIGN PATENT DOCUMENTS | 7 | T | _ |
|----------------------|------|---------------------|---------------------------------------|---------------------|----------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------|----------------|
| Examiner Initials | Cite | Office ³ | Patent Docume Number ⁴ Ki | ndCode ⁵ | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear | T ⁶ |
| | | EP | 0 511 905 | A1 | Kaysersberg SA | 11-04-1992 | | No |
| | | EP | 0 511 905 | B1 | Kaysersberg SA | 11-04-1992 | English Claims | No |
| | | EP | 1 016 393 | A1 | Kao Corporation Tokyo (JP) | 07-05-2000 | | |
| | | DE | 200 02 192 | U1 | Schildgen | 06-21-2000 | | No |
| | | DE | 201 14 825 | U1 | Johnson & Johnson Industria e Comercio Ltda. | 03-07-2002 | | No |
| | | DE | 299 11 806 | U1 | Kuhn | 12-28-2000 | | No |
| | 1 | wo | 96/38110 | A1 | The Procter & Gamble Company | 12-05-1996 | | |

| Examiner | Date | |
|-----------|----------------|--|
| Signature | Considered | |

Examiner
Signature

EXAMINER: Initial if reference considered, whether or not citation and not considered. Include copy of this form with next communication to appropriate code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard or possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

TECHNOLOGY CENTER R3700



SUBMISSION UNDER MPEP 609 D

| Application Number | 10/040,575 |
|------------------------|------------------|
| Filing Date | November 1, 2001 |
| First Named Inventor | A.C. R. Carvalho |
| Group Art Unit | 3761 |
| Examiner Name | C. L. Anderson |
| Attorney Docket Number | J&J2045 |

Page 1 of 1

| Examiner Initials | Cite No. ¹ | Name of Patentee or Applicant of Cited Document | Nur | mber | | nd Code ² known) | Pages, Colum where relevant p relevant figure | assages or |
|-----------------------------|--------------------------|-------------------------------------------------------------------------------------------------|---------------------------------------|----------------|-----------------------|--------------------------------|------------------------------------------------------------------|------------|
| | | | | | | | | |
| | | | | | | | BEO. | |
| | | | | | | <u> </u> | PECEN JUN 0 7 20 DLOGY CENTE | /ED |
| | | | | | | TECHN | 00N 0 7 20 | 04 |
| | | | | | | " | OLOGY CENTE | R3700 |
| | | | | | | | | |
| | | | =3, r | | | | | |
| | - | FOREIGN | | T DOCUMEN | | T = | <u> </u> | <u></u> |
| Examiner Initials | Cite No. ¹ | Name of Patentee or Applicant of Cited Document | Office ³ | Patent Docum | KindCode ⁵ | where r | Columns, Lines, elevant passages elevant figures appear | T⁵ |
| | | | · · · · · · · · · · · · · · · · · · · | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | · · · · · · · · · · · · · · · · · · · | |
| | | OTHER PRIOR ART - NO | | | | | | <u> </u> |
| Examiner 's Initials* | Cite No.1 | Include name of the author (in Ca title of the item (book, magazine volume-issue number(s | , journal, | serial, sympo | osium, catalo | g, etc.), c | late, page(s), | T² |
| | | European Search report dated April 8, 2 | 2004, for c | orresponding E | P application (| 03001778.4 | | |
| | | European Search report dated April 8, 2 | 2004, for c | orresponding E | P application (| 03001779.2 | 2 | |
| | | | | | - | | | |
| Examiner | | | | Date | | | | |
| Signature | | | | Considere | ed | | | |
| | | | | | | | | |

U.S. PATENT DOCUMENTS

U.S. Patent Document

RECEIVED

JUN 0 7 2004

TECHNOLOGY CENTER R3700



| Serial No. 10/040 575 Docket No. 175-22(5-14) By. PTH | 15-2415-WB. PTH |
|---------------------------------------------------------------------------------------|---------------------------------------|
| Application of: [Carvill No ltul Mailed: | May 28, 2001 |
| Entitled: Santany Molohent | Artel |
| THE FOLLOWING HAS BEEN RECEIVED IN THE U.S. PATENT OFFICE ON THE DATE STAMPED HEREON: | IT OFFICE ON THE DATE STAMPED HEREON: |
| ☐ Oath or Declaration | ☐ Drawings sheets |
| ☐ Assignment | MPEP 609/ D |
| Response | ☐ Notice of Appeal |
| Fee Transmittal | □ Brief |
| A Charge to Deposit Account 10-0750 | ☐ Priority Document |
| / Amendment | Status Inquiry |
| ☐ Extension of Time | ☐ Sequence Listings/Diskette |
| Sue Fee Transmittal | ☐ Biological Deposit Declaration |
| D PCT Filing | to other statusent Undl |
| 49 | 37 CFR 1.97 (e) |

RECEIVED
TECHNOLOGY CENTER RSTOO